

Draft Annual Action Plan

for housing and community development

City of Middletown, Connecticut

PY 29
July, 2003

Complete Version with Tables and Printouts are available at the
Middletown Dept. of Planning, Conservation, and Development

Community Development Division
Department of Planning, Conservation, & Development
City of Middletown, Connecticut
245 deKoven Drive
Middletown, Connecticut 06457
www.cityofmiddletown.com

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Executive Summary

The planning for PY29 (2003) sees continued effort to better the lives for low to moderate income residents of Middletown, with special focus on the North End and Youth needs.

Middletown has successfully used the 5-year Consolidated Plan to attract new funding proposals. Whereas, three of this years new projects are a realization of initiatives stated in the 5-year Consolidated Plan, North End Initiative, CAD Certification, and Medical Transportation.

The PY29 entitlement funds have been divided between seed money and renewed funding for projects in the North End, focusing on housing and building a safer vibrant community. Funds are also being allotted to Youth programs around the City, focusing on early development and after-school arts.

The following Annual Action Plan discusses many of these items in more detail. Overall, it should show that Middletown continues to find new ways to utilize this vital federal resource.

Citizens' Participation

The 2003 Annual Action Plan was available for a 30-day period of public review and comment from 18 June 2002, to 18 July 2002. Public notice inviting public review and comment was given in the Middletown Press and the Hartford Courant.

On 16 July 2002, the plan went before the Citizens' Advisory Committee regular meeting for members' review and comment and a public hearing. No criticisms or suggestions for revision were made by either members of the Committee or members of the public, and the plan was approved for submission to the US Department of Housing and Urban Development (HUD).

The following pages document the City's steps in this Citizens' Participation process.

Insert Application for Federal Assistance form Here

Legal Notice
City of Middletown, Connecticut
18-June-2003

The City of Middletown is preparing to submit to the U.S. Department of Housing & Urban Development (HUD) its Annual Plan for the use of Community Development Block Grant funds. The Draft Annual Plan has been available for public review since June 18th, 2002. Public notice is hereby given of a public hearing for the Annual Plan at the regular meeting of the Citizens Advisory Committee, scheduled for July 18th, 2002 at the Municipal Building, Room 208. Public comments heard at this meeting will be integrated into the final document before submission to HUD. In accordance with the provisions of 24 CFR 91.105(c)(2), written comments on the plan are also being accepted at the Department of Planning, Conservation and Development through July 18, 2002. Comments should be addressed to Department of Planning, Conservation and Development; Municipal Building; 245 deKoven Drive; Middletown, CT; 06457.

William Warner
Director of Planning, Conservation, and Development

To appear in the Hartford Courant

Wednesday June 18, 2003

Legal Notice
City of Middletown, Connecticut
12-June-2003

Pursuant to the City of Middletown Participation Plan and the regulations of the US Department of Housing and Urban Development, the Citizens Advisory Committee will hold a public hearing to provide citizens and citizen organizations with an opportunity to comment on the use of \$61,730.83 of program income under the City's Community Development Block Grant (CDBG) entitlement program. Proposals for use of the funds include:

- 1) Wesleyan University
- 2) NEHI/ North End Housing Initiative
- 3) Kidcity/ Job Creation
- 4) Connection/ Youth Center

Pursuant to 24CFR91 and 24CFR570 expenditures of these funds will be subject to the following: a public hearing, written or oral comments, consideration of said comments and approval of the Citizens Advisory Committee, and subsequent approval by Common Council.

The public hearing will be held on Wednesday, June 25th, 2003 at 5:00pm in the Municipal Building, Room 208.

Linda Wallace, Chair
Citizens Advisory Committee

To appear in the Hartford Courant

Thursday, June 12, 2003

Legal Notice
City of Middletown, Connecticut
7-March-2003

Pursuant to the City of Middletown's Citizen Participation Plan and the regulations of the U.S. Department of Housing and Urban Development, the Citizens Advisory Committee will hold a public hearing to provide citizens and citizen organizations with an opportunity to comment on the use of \$857,408.00 anticipated to be available under the City's Community Development Block Grant (CDBG) entitlement program.

The hearing previously scheduled for Wednesday, March 19th at 5:00p.m. in the Common Council Chambers has been rescheduled for Wednesday, March 19th at 4:30p.m. in them Common Council Chambers.

Linda Wallace, Chair
Citizens Advisory Committee

To appear in the Hartford Courant

Friday, March 7, 2003

Pursuant to the City of Middletown's Citizen Participation Plan and the regulations of the U.S. Department of Housing and Urban Development, the Citizens Advisory Committee will hold a public hearing to provide citizens and citizen organizations with an opportunity to comment on the use of \$857,408.00 anticipated to be available under the City's Community Development Block Grant (CDBG) entitlement program.

The City of Middletown has been notified that its estimated entitlement grant allocation for 2003 is \$544,000.00. Added to this sum is expected \$150,000.00 of reprogrammed funds, and \$171,730.00 of CDBG Program Income.

The city is interested in proposals for projects pursuant to the goals and strategies outlined in the city's 5-year Consolidated Plan for Housing & Community Development, an outline of which will be included in the grant application. The City of Middletown will accept applications for any project which either demonstrates a public benefit, fulfills an objective of the Consolidated Plan, or is otherwise consistent with CDBG program guidelines.

This hearing will be held on Wednesday, March 19th at 5:00p.m. in the Common Council Chambers. The Citizens Advisory Committee has established certain requirements for sub-grantees (organizations who would like to receive program funds) of the City of Middletown. Therefore, persons and organizations interested in submitting funding requests and/or commenting on the use of funds should obtain applications which are available at the Department of Planning, Conservation and Development, Room 202 of the Municipal Building, 245 deKoven Drive, in Middletown, or by downloading them from www.middletownplanning.com.

Completed funding requests should be returned to the Community Development Division of the Department of Planning, Conservation and Development no later than 10 AM on Friday, February 14, 2003.

Linda Wallace, Chair
Citizens Advisory Committee

To appear in the Hartford Courant and the Middletown Press

Friday, January 24, 2003

2001 Amendments to Strategic Plan

City of Middletown Consolidated Plan for Housing and Community Development
September 1, 2000 to August 31, 2005

Section 108 Loans

Chapter IV., "Housing and Community Development Strategic Plan," of The City of Middletown Consolidated Plan for Housing & Community Development September 1, 2000 to August 31, 2005 (Con Plan) has been amended to include two Section 108 Loans the city has applied for from HUD. Each proposed 108 loan application addresses projects already contained in the Con Plan; only the decision to use 108 Loans to realize these projects differs from the original version.

570.704 Requirements

The first Section 108 Loans pertains to the city's long-standing endeavor to eliminate the Miller Bridge neighborhood and the concentrated conditions of poverty and social pathologies that pervade it.

National Community Development Objective
Benefits Low- and Moderate-Income individuals directly.

Activities to be undertaken

Acquisition of Real Property [24 CFR 570.703(a), Demolition and Clearance [24 CFR 570.703(e)].

Program income expected: \$0.00

Guaranteed loan funds to be used: \$250,000.

The second loan pertains to the city's long-term campaign to bring to its downtown a full-service hotel at 56 Main Street, putting to use a long-vacant yet historic building and bringing to this low income census tract new life, jobs, and tourism dollars.

National Objective: Benefits Low- and Moderate-Income individuals by creating permanent jobs, at least 51% of which will be made available to or held by low- and moderate-income persons.

Activities to be undertaken: Acquisition of Real Property [24 CFR 570.703(a)], Demolition and Clearance [24 CFR 570.703(e)].

Program income expected: \$0.00

Guaranteed loan funds to be used: \$400,000.

Text Amendments

Page 50

Priority Non-Housing Community Development Needs
Elimination of Blight

Include:

A neighborhood where blight prevails universally is the Miller Bridge neighborhood. This neighborhood has been condemned. In the city's Miller & Bridge St. Redevelopment Plan (Redevelopment Plan), all properties located there are scheduled for demolition, current owners fairly compensated, and residents relocated in accordance with uniform relocation procedures.

In order that progress implementing the Redevelopment Plan not be delayed, the city shall apply for a Section 108 Loan from HUD, in order to facilitate the acquisition and relocation activities.

Page 54

Increasing Employment Opportunities through Economic Development
Miller and Bridge Redevelopment Plan

Replace:

"CDBG funding for demolition..." with "Section 108 Loan funding for demolition..."

page 55

Additional Economic Development
Downtown Development

Replace: "...which is being reviewed by several hotel developers." With "which has successfully attracted a hotel developer and occasioned a broad public-private partnership to finance the project. The city will apply for a Section 108 Loan from HUD in order to finance the site acquisition."

Replace: "The proposed creation of a Business Improvement District (BID) was recently voted down by the Common Council, however..." with "The proposed creation of a Business Improvement District (BID) was recently approved by the district's property owners and established."

Page 69

III. Community Development Objectives
Community Development Goal A: Economic Development
Community Development Objective A.1:
Community Development Strategy A.1.C:

Add: "Collaborate with other stakeholders in the Hotel development plan, acquiring the necessary property with a Section 108 Loan."

2002 Amendments to Strategic Plan

*City of Middletown Consolidated Plan for Housing and Community Development
September 1, 2000 to August 31, 2005*

Section 108 Loans

Chapter IV., "Housing and Community Development Strategic Plan," of The City of Middletown Consolidated Plan for Housing & Community Development September 1, 2000 to August 31, 2005 (Con Plan) was amended last year to include two Section 108 Loans the city was applying for from HUD. Each proposed 108 loan application addressed projects already contained in the Con Plan; only the decision to use 108 Loans to re4alize these projects differed from the original version.

Since last year, the City of Middletown filed the first of these applications, and is awaiting to hear if the application was approved. The City of Middletown decided not to pursue the second of these which had been intended to assist in the development of a full-service hotel, although other funding was found and the project itself is moving forward.

Thus, last year's amendments to the Strategic Plan pertinent to their original state.

Program Amendments

Under provisions for "program Amendments" in its Citizen Participation Plan, Middletown reprogrammed \$447,350 of its program income this year on four projects, two of which constitute "Substantial Amendments" to its Consolidated Plan.

These funds surfaced during a 570.900(a)(1) on-site monitoring review at which time a Finding was made that the City's revolving loan fund's cash balance was not held in a separate account form which interest could be calculated, extracted, and transmitted to HUD in accordance with 570.500(b). As a result of these finding and subsequent correspondence, HUD and the City of Middletown agreed that, during the several-year period of this oversight, the City of Middletown consistently used all funds in accordance with CDBG national objectives and eligible activities, but would henceforth establish a separate account and transmit interest to HUD annually.

The four projects were:

- 1) The purchase of decorative streetlights for Middletown's Main Street
- 2) The demolition of the Middlesex County Courthouse
- 3) The replacement of a fence for the Old Washington Street Burying Ground
- 4) The institution of a Job Counseling program at the Middletown Youth Center

The budget allocations for the first two of these projects each exceed 10% of the current program year grant, and, in accordance with the City of Middletown's Citizen Participation Plan, are therefore designated "Substantial Amendments,"

and require a public hearing, approval by the Citizens' Advisory Committee and Common Council, and a transmittal letter to HUD from the Mayor (included with the certifications in this Annual Plan).

The Strategic Plan is hereby amended accordingly.

Page 50
Priority Non-Housing Community Development Needs
Elimination of Blight

Insert: "The demolition of the vacant Middlesex County Courthouse for reuse as a neighborhood park would eliminate a developing blight in the core of the downtown, in an area much in need of green space." as a new paragraph between third and fourth full paragraphs on the page.

Page 73
Community Development Goal B
Community Development Objective B.1

Replace the word "residential development plans in downtown areas" with "neighborhood development plans in downtown areas."

Page 54
Additional Economic Development
Downtown Development

Insert: "The replacement of Main Street's streetlights with more aesthetic period fixtures is a step that may be taken to pursue this goal." after the second sentence, in the last paragraph.

Page 69
Community Development Strategy A.1.C:

Insert: "decorative, period streetlights," after the word "including" in line three, first full paragraph on the page.

Page 51
Priority Non-housing Community Development Needs
Fundamental Skills Training

Insert: "Instill these skills in our youth before they encounter employment problems could be a valuable activity at local Youth Centers in Middletown." after the first sentence of the paragraph.

Page 79
Community Development Strategy E.2.A

Insert: ", including worker preparation job counseling." At the end of the first sentence on the page.

Page 55

Additional Economic Development
Downtown Development

Insert: "The repair or replacement of the dilapidated fence around Old Washington Street Burial Ground would protect that historic districts character."
In front of the first full sentence on the page.

Page 73

Community Development Objective B.1:

Change sentence to: "Continue to provide funding for the removal, replacement, or upgrade of blighted structures in low- and very low-income neighborhoods in conjunction with current plans for the improvement of downtown areas."

2003 Amendments to Strategic Plan

*City of Middletown Consolidated Plan for Housing and Community Development
September 1, 2000 to August 31, 2005*

Program Amendments

Under provisions for “Program Amendments” in its Citizen Participation Plan, Middletown reprogrammed \$61,730.83 of its program income this year on four projects.

The four projects are:

- 1) Wesleyan University/ Green Street Arts Center
- 2) NEHI/ North End Housing Initiative
- 3) Kidcity/ Jobs Creation
- 4) Connection/ Youth Center

Since none of these programs exceeds 10% of the current program year grant, and in accordance with the City of Middletown’s Citizen Participation Plan, are therefore not designated “Substantial Amendments.” Therefore passage of this amendment requires a public hearing, approval by the Citizens’ Advisory Committee, and the Common Council.

The Strategic Plan is hereby amended accordingly.

page 63

Housing Objective C.1

Housing Strategy C.1.A

Replace “Average 8 units/year for 5 years” with “Average 6 units/year for 5 years”.

page 65

Housing Objective D.1

Housing Strategy D.1.A

Replace “Average 15 units/year for 5 years” with “Average 5 units/year for 5 years”.

page 72

Community Development Objective A.3

Community Development Strategy A.3.B

Replace “Ten (10) jobs per year” with “Five (5) jobs per year”.

Pledge of Grants

To assure the repayment of debt obligations and the charges incurred under 24 CFR 570.705(g) and as a condition for receiving loan guarantee assistance, the City of Middletown pledges all grants made or for which it may become eligible under 24 CFR 570.

Fair Housing Narrative

In order to overcome issues identified in the Analysis of Impediments in the City's Fair Housing Plan, the following activities have been funded through its 2003 Entitlement Program:

Affordable Housing and Financing:

- The North End Housing Initiative received funds to acquire and rehab four (4) units for affordable housing.
- Chamber of Commerce/Worker Preparation Program, Middletown Youth Center/ Job Center, and Community College's CAD program will train people for gainful employment opportunities in order to have an income to afford decent, safe and healthy housing.
- Positive Solutions received funds to acquire a property to carry out its work in providing employment access for men and women who are HIV positive or who have AIDS, in order to have an income to afford decent, safe and healthy housing.
- The City continues to fund its Residential Rehabilitation Loan Program through its Revolving Fund.
- The City continues to provide fund its JOBS Loan Program through its Revolving Fund.
- The City continues to support its Down Payment and Closing Cost Assistance Program to low/moderate income persons through its Revolving Fund.

Lack of Education about Fair Housing Laws, Rights and Responsibilities:

- The North End Action Team has recently been selected as the preferred developer for the North End of Middletown, and the North End Plan adopted by the Redevelopment Agency has a strong emphasis of providing affordable housing to current residents. The Community Organizer for the North End Action Team will be able to advise the residents of this low/moderate income area (Census Tract 5416) on various education programs for fair housing and help them become aware of the laws rights and responsibilities for fair housing.

Gaps Analysis

The following table summarizes Middletown's Gaps Analysis as of March 2002, for Individuals, and for Persons in Families with Children. Issues of homelessness and other gaps identified in the Continuum of Care continue to be addressed through a community-wide Supportive Housing Coalition in the city.

Table 1

Annual Plan Narrative

Housing and Community Development Resources

For Program Year (PY)* 29, the City of Middletown has made funds available in the amount of for its US Department of Housing and Urban Development (HUD) Community Development Block Grant (CDBG) Programs. These funds include: \$545,000.00 of 2003 Entitlement money and \$116,353.71 of reprogrammed and adjusted funds (see Table 2).

In accordance with Chapter 25, Section 570.503 (b) and 570.504(b) of the Code of Federal Regulations (24 CFR §570.503(b) and 24 CFR §570.504(b), existing CDBG allocations found to be left over, improperly, tardily, or insufficiently used were used to increased the total funding opportunities available for the new Program Year.

- Reprogrammed – Source Projects
 - Public Works/ Broad Street Parking Lot
 - Fire Department/ Air Gas Meter
 - PC Innovations/ Jobs Readiness 2000
 - I Have a Friend Youth Center
 - Middlesex Community College/ Nursing Program
 - Middletown PCD/ Streetscapes Project

With the completion of the Broad Street Parking lot, the remainder of the funds were no longer needed and therefore reprogrammed.

With the Fire Department having purchased the Air Gas Meter for less than the awarded grant, the remainder of the funds were no longer needed and therefore reprogrammed.

With the completion of the PC Innovation's Job Readiness 2000, the remainder of the funds were no longer needed and therefore reprogrammed.

With the completion of the I Have a Friend Youth Center, the remainder of the funds were no longer needed and therefore reprogrammed.

With the completion of Middlesex Community College's Nursing Program, the remainder of the funds were no longer needed and therefore reprogrammed.

The Middletown Streetscapes project was not moving forward and it was decided that the money could be better-used new projects.

- Reprogrammed – Destination Project
 - Kidcity Children's Museum/ Create Jobs
 - Positive Solutions/ Property Acquisition

The Kidcity Children's Museum, since its establishment in 1997 with CDBG assistance, has been an enormous success for the city, with annual attendance rates increasing by tens of thousands each year. Kidcity is currently adding on a

new wing, expanding the museum from 5,000 sq. ft. to 13,500 sq. ft. The next phase of the expansion is construction of the exhibits. When the expansion is open, the staff will grow from two full-time positions to seven full-time positions. These reprogrammed funds will be used job creation. Since Kidcity is located in Middletown's poorest census tract, the positions will be ideal for low-to-moderate income people, not only in the scope of work, but also in location.

Positive Solutions, cited in Middletown's 5 Year Consolidated Plan as providing employment access for men and women who are HIV positive or who have AIDS, will be purchasing the property at 14 Alsop Avenue, Middletown in order to expand its services and programs. Reprogrammed funds will be used for this purpose.

In accordance with the Citizens Participation Plan, the Citizens Advisory Committee held a public hearing on March 19, 2003 at which twenty (20) presentations were made for funding. The public hearing was preceded by legal advertisements placed in the local press on January 2003. Following receipt of the various funding requests on February 14, 2003 the applications were sent to the Citizens Advisory Committee for their review. After the public hearing, the Citizens Advisory Committee met again on April 16, 2003 to discuss the applications and made their recommendations for funding. The Common Council, at its May 5, 2003 meeting, voted to approve all the recommendations of the Citizens Advisory Committee.

The remainder of this Narrative catalogues this year's recipients of CDBG allocations, by selected activity type.

Table 2

The community continues to focus on its concern over **jobs, youth development**, and the **redevelopment, renovation, and rebuilding** of the physical city. Deal effectively with these concerns are the main objectives of this Annual Action Plan. This year's recipients have been selected in accordance with these objectives and are listed below in those three basic categories. The Proposed Projects Section of this Plan includes quantitative assessments of each activity's objectives, anticipated accomplishments, and client base.

The following pages list the recipients of Middletown's 2003 CDBG allocations and outlines their projects with summaries and/or excerpts of those recipients' project proposals.

Since CDBG funds are federal money, their use must meet federally designated standards, including national objectives and eligibility criteria. National objective and eligibility type is listed in the Proposed Projects for each project.

JOBS

- **Middlesex Business and Industry Foundation / Middletown Worker Preparation Program**

Project Summary: Work with the participants and assist them in attaining full time employment as well as enhancing the employment of the current and/or former AFDC, welfare recipients and long term unemployed individuals.

Community Benefit: In Middletown's Five Year Consolidated Plan for Housing and Community Development, Employment Training and Job Identification were identified as top priorities in the "Gap Analysis." Providing job training to that portion of the City's population that has not found adequate employment increases the chances that those individuals will find jobs. This narrows a widening gap between Middletown's rich and poor, benefits the economy, decreases the burden on social services, reduces crime, and often preempts the slide of members of at-risk populations into homelessness, welfare, poor self-image, and a host of other social maladies. This project will continue the business of providing realistic hope for the longer-term unemployed.

- **Middlesex Community College / CAD Certificate Program**

Project Summary: Expansion of the Customized CAD Certificate Program currently being offered through Middlesex Community College's Precision Machining Institute in Meriden. This funding would allow a class to run in Middletown for low-moderate income residents. Improving basic computer literacy skills, technical training necessary for residents to have gainful employment.

Community Benefit: In Middletown's Five Year Consolidated Plan for Housing and Community Development, Employment Training and Job Identification were identified as top priorities in the "Gap Analysis." CAD/CAM training is specifically mentioned in the Plan as being "increasingly necessary for residents if they wish to compete for jobs in

high-tech manufacturing firms relocating to Middletown.” This service will benefit unemployed and underemployed residents in training them in areas lacking in Middletown, basic computer literacy skills and technical training in computer rendering for manufacturing and engineering purposes.

- **Kidcity Children’s Museum / Create Jobs**

Project Summary: As of June 2003, the Kidcity Children’s Museum will have completed the construction of a new wing, expanding the museum from 5,000 sq. ft. to 13,500 sq. ft. The next phase of the expansion is to prepare the building for exhibit construction. The final phase will be the construction of exhibits. When the expansion is open, the staff will grow from two full-time positions to seven full-time positions. Kidcity will use the CDBG funds to support that job creation. The positions will be ideal for people in the low-to-moderate income category and are located within the City’s poorest census tract.

Community Benefit: In Middletown’s Five Year Consolidated Plan for Housing and Community Development Needs, the Plan calls for “increased employment opportunities through economic development”, as well as targeting the downtown area for job creation. This project will respond to the need for additional job opportunities by hiring five additional employees at Kidcity, made available to low and moderate income people. Moreover, the project will help by “rehabilitating the historic downtown as an employment center”, thereby confirming, a thriving downtown is critical to the vitality of our community.

YOUTH DEVELOPMENT

- **Middletown Board of Education / School Readiness**

Project Summary: This project will increase the School Readiness Coordinator position from half-time to full-time to administer, coordinate, monitor, and evaluate the Middletown School Readiness Program. The coordinator also develops and implements initiatives under the Quality Enhancement and Discovery Programs to improve the quality of Middletown’s early childhood programs.

Community Benefit: Program provides high quality preschool and childcare services to low- and moderate-income 3- and 4- years-olds. In Middletown’s Five Year Consolidated Plan for Housing and Community Development Needs, the Plan calls for youth services, which act as an anti-poverty and anti-crime strategy. The Plan recognizes that a “lack of early childcare is detrimental to the child’s economic potential”, and that “a lack of affordable childcare and/or after-school youth services is often a barrier preventing meaningful employment, especially for extremely low and low-income single parents families.

REBUILDING

- **North End Housing Initiative / North End Housing Initiative**

Project Summary: A coalition of five organizations has formed the North End Housing Initiative, LLC (NEHI). The developers and the community organization that make up this community development corporation have committed five years to working with residents, developers, municipal officials, and community leaders to devise and advocate for a neighborhood plan. NEHI was selected by the City of Middletown as the preferred developer of the North End/CBD Urban Renewal Plan and is beginning the first phase of this development plan. This CDBG request is for the homeownership component of the plan. Habitat and Alderhouse will develop the following properties:

- Acquisition of one empty lot at 28 Green Street to be constructed by Alderhouse into an owner occupy
- a single family home at 60% AML, to be complete by Alderhouse.

Community Benefit: The acquisition, rehabilitation and construction of these properties will address the lack of decent and safe affordable housing on Green Street. The Consolidated Plan highlights the North End area as target area for improved housing. As stated in plan, "An adequate supply of available affordable housing is essential to achieving balanced growth and economic development in Middletown." This project will address the lack of safe and decent affordable housing (both homeownership and affordable rental) in the historically depressed North End.

- **Positive Solutions / Property Acquisition**

Project Summary: Positive Solutions, cited in Middletown's 5 Year Consolidated Plan as providing employment access for men and women who are HIV positive or who have AIDS, is proposing to purchase the property at 14 Alsop Avenue, Middletown in order to expand its services and programs.

Community Benefit: This project addresses the designated community needs for the provision of "educational opportunities and employment training" as primary anti-poverty strategies. Positive Solutions' Computer Training Program and its Tower Project offer opportunities to learn new skills that can and do lead to employment for men and women with HIV/AIDS, whom are also predominantly the poorest citizens of Middletown. The Tower Project actually provides employment on site. It offers a meaningful job experience for those in recovery and on their way to a new life.

- **Wesleyan University / Green Street Arts Center**

Project Summary: Wesleyan University will renovate the Green Street School in the North End of Middletown to house a new community arts center. The center will act as a cultural and educational resource for the community residents of all ages, particularly for children and for lower and moderate income residents in the North End.

Community Benefit: In the poorest section of the poorest census tract in the city, this initiative leverages the considerable resources of Wesleyan University to bring to life this historic building and provide sliding-scale arts instruction and after-school programs for neighborhood children.

- **Russell Library / Building Renovations Phase III**

Project Summary: Continues the renovation project underway at the Russell Library to increase general handicapped accessibility, specifically the construction of a handicapped access ramp.

Community Benefit: The Russell Library is the city's primary public library and is located in low/mod census tract 5416. It serves a large low- to moderate income population, as well as serving approximately 3,300 persons with disabilities. In Middletown's Five Year Consolidated Plan for Housing and Community Development Needs, the Plan calls for all City community facilities and commercial establishments to become ADA code compliant.

- **Oddfellows Playhouse / Rehabilitation/HVAC System Replacement**

Project Summary: In 1992-1994, Oddfellows Playhouse purchased and renovated its historic building in downtown Middletown. The HVAC units that serviced multiple zones in the Playhouse were functioning satisfactorily. This project replaces the old HVACs with a gas-fired 4-zone system on the first and lower floors, resulting in an energy-efficient building that will save money that can be spent on programs and a more comfortable environment in which to learn for thousands of Middletown youth.

Community Benefit: In Middletown's Five Year Consolidated Plan for Housing and Community Development Needs, the Plan calls for the need to provide safe, supervised activities for school-age low-income children. Oddfellows is recognized by the City as a resource that continues to "provide supervised educational programs and activities after-school", especially for children of low/mod income. For 28 years Oddfellows has served low-income youth, ages 6-19, with free theater programs that promote self-esteem and artistic achievement in a positive environment. This project will allow us to lower our HVAC operating and repair costs and to use our limited funds on programs that directly benefit these young people, rather than on building renovations.

- **Community Health Center / Facility Improvement**

Project Summary: This project calls for the structural renovations of approximately 2,000 sq. ft., including the front entrance, waiting area, receptionist area, medical records room, and patient billing areas. The redesign will improve the handicapped accessibility, replacing the three-step entrance.

Community Benefit: The Community Health Center is integral to many of the designated community needs cited in Middletown's Five Year Consolidated Plan for Housing and Community Development Needs. It responds to the needs of special populations including the homeless, the mentally ill, persons with HIV/AIDS, the disabled, abused children, and the elderly, where often the case is that the CHC is the only source of outpatient medical care.

GENERAL PROGRAM ADMINISTRATION

- **City Department of Planning, Conservation & Development / Program Administration**

Project Summary: Administration of the Community Development Block Grant (CDBG) Program by the Community Development Division of the Department of Planning, Conservation & Development.

Community Benefit: Coordinates, facilitates and oversees all other CDBG entitlements in the City of Middletown.

Other

- **North End Action Team/ Community Organizing Support**

Project Summary: The proposed project is partial funding of a community organizer position. The project will focus upon: education and recruitment of North End residents to participate in programs of the Green Street Arts Center; developing a community-based property based management model and; developing an education/workforce Job Bank for North End Residents.

Community Benefit: This project will address a number of needs that are called for in Middletown's Five Year Consolidated Plan for Housing and Community Development Needs, such as housing for low income residents, the need for tenant groups, neighborhood revitalization, job training, need for childcare and youth services, and the need for substance prevention.

- **Middletown Housing Partnership Trust/ Military Road DPA/CC Fund**

Project Summary: The Middletown Housing Partnership Trust, Inc. is an independent, private non-profit which took possession of sixteen (16) three-bedroom single-family houses and land on Military Road from the Army. These homes were offered by lottery to low-moderate income families and all sixteen units were occupied by 1993. This project will start a down payment and closing cost assistance fund to assist new low/moderate homeowners at Military Road with closing costs associated with the purchase of a home.

Community Benefit: This revolving fund will address the need for low-income individuals to have the assistance needed to purchase their first home. Without this assistance most individuals would not have the opportunity to fulfill their dream of becoming a homeowner.

- **American Red Cross/ Transportation Services**

Project Summary: The American Red Cross, Middlesex Central Chapter will provide transportation services from Middletown to Hartford and/or New Haven on an established day, week in and week out to accommodate low/moderate income elderly/disabled riders who have special medical needs.

Community Benefit: This project will achieve the goal described Middletown's Five Year Consolidated Plan for Housing and Community Development Needs, of providing "direct access to various specialized medical services that are often restricted to the larger, more renowned medical facilities which tend to be located in Hartford and New Haven. This service will be provided primarily to the lower income elderly and/or disabled individuals.

Homeless and Other Special Needs

The City continues its commitment to provide the necessary resources to enable established City agencies in their goal of assisting the less fortunate in seeking housing opportunities.

Anti Poverty Strategy

The City of Middletown takes to heart the old adage “Give a man a fish, you feed him for a day; teach a man to fish, you feed him for a lifetime.” The City-funded homeless and transitional shelters and food pantries maintain close case-management with their clients, and are also maintaining close contact and coordination with other supportive agencies to help Middletown’s poor and disadvantaged make the transition into less desperate circumstances.

A key step in that transition is finding – and keeping – gainful employment, a key element in any anti-poverty strategy, and a large component of this Plan as outlined in the 2003 CDBG Housing and Community Development Resources section.

Other Actions

The city’s popular affordable housing program, the Down Payment and Cost Assistance Loan Program has been entrusted to Liberty Bank for administration and loan servicing. Demand for this program continues to be very high and the city expects the substantial capacity and resources of the bank to result in placing 12 or more new low- and moderate-income homeowners in the city per year.

Monitoring

The City will assure that all affordable housing activities will further fair housing and comply with the federal displacement and relocations, and with federal regulations concerning housing quality standards, lead-based paint, Davis-Bacon wages, and environmental review.

Through periodically scheduled review meetings, the City will monitor its progress towards the goals set in the Consolidated Plan and the Annual Plan update to insure that all statutory and federal regulatory requirements are being met. The City will meet with its subrecipients to inquire into the use of CDBG funds as approved in this Annual Action Plan.

Collaboration with Local Housing Authority

Closer contact between the MHA and CDD has resulted in a more seamless “continuum of care,” with respect to housing issues. Inquires to the CDD about housing for persons of extremely low income are efficiently relayed to the Public Housing and Section 8 offices of MHA, and MHA clients which become ready to buy their own house are routinely referred to the CDD for our Down Payment Assistance program.

Insert Table 2A and 2B

Resolution Number:
Date: April 17, 2003

RESOLUTION

WHEREAS, the City of Middletown receives Community Development Block Grant (CDBG) funds from the U.S. Department of Housing & Urban Development (HUD) as an entitlement community; and

WHEREAS, the final rule was published on January 5, 1995 in the Federal Register for the Consolidated Submission for Community Planning & Development Programs; and

WHEREAS, pursuant to the HUD final rule, revisions to the City of Middletown Citizen Participation Plan have been adopted which includes the process by which the Citizens Advisory Committee prepares the Consolidated Plan;

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF MIDDLETOWN: That the Mayor, as Chief Executive Officer of the City of Middletown, is hereby authorized to submit the 2003 Entitlement Program to the U.S. Department of Housing and Urban Development for a grant in the amount of \$545,000 under the Community Development Block Grant Program including all understandings and assurances contained therein; and

BE IT FURTHER RESOLVED: That the following appropriations are hereby approved:

Organization	Project	Amount
Middlesex Business and Industry Foundation	Middletown Worker Preparation	\$27,500
Middletown Board of Education	School Readiness	\$25,000
Middlesex Community College	CAD Certificate Program	\$15,000
Oddfellows Playhouse	Rehabilitation/Replacement HVAC System	\$30,500
North End Housing Initiative LLC	North End Housing Initiative	\$145,000
Community Health Center, Inc.	Facility Improvement	\$20,000
Wesleyan University	Green Street Art Center	\$75,000
Middletown Housing Partnership Trust, Inc.	Military Road Revolving DPA/CC Fund	\$15,000
American Red Cross	Medical Transportation	\$13,750
North End Action Team	Community Organizer	\$3,000
Kidcity Children's Museum	Create Jobs	\$16,250
Russell Library	Renovation Phase III	\$17,000
Positive Solutions	Property Acquisition	\$5,000
Middletown Planning, Conservation and Dev.	Program Administration	\$137,000

AND BE IT FURTHER RESOLVED: That the Consolidated Plan may be updated and amended as necessary to reflect Community Development Block Grant programs approved by the Common Council and changing priorities of present and future potential grant recipients.

Submitted by: Department of Planning, Conservation and Development
Recommended by: Citizens Advisory Committee at its meeting of April 16, 2003

Status:
by Common Council, City of Middletown
at its meeting held on: MAY 6, 2003

Resolution Number:

Date: May 7, 2001

R E S O L U T I O N

BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF MIDDLETOWN:

That the following budget modifications be made:

DECREASE

1999 Entitlement

Line Item 392-527-119 PW/ Broad Street Parking Lot \$ 41,270.10

2000 Entitlement

Line Item 389-489-119 Air Gas Meter \$ 451.48

Line Item 389-489-105 Jobs Readiness 2000 \$ 118.00

Line Item 389-489-103 I Have a Friend Youth Center \$ 76.00

2001 Entitlement

Line Item 399-484-131 Middlesex Nursing Program \$ 9,438.13

2002 Entitlement

Line Item 397-566-109 Middletown PCD/ Streetscapes \$ 65,000.00

CREATE

1999 Entitlement

Line Item 392-527- Kidcity \$ 41,270.10

2000 Entitlement

Line Item 389-489- Kidcity \$ 645.48

2001 Entitlement

Line Item 399-484- Kidcity \$ 9,438.13

2002 Entitlement

Line Item 397-566- Positive Solutions/Prop.Acquisition \$ 65,000.00

Submitted by: Department of Planning, Conservation and Development

Recommended by: Citizens Advisory Committee at its meeting of April 16, 2003

Status:

by Common Council, City of Middletown,

at its meeting held on: May 21, 2003

Resolution Number:
Date: May 28, 2003

R E S O L U T I O N

BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF MIDDLETOWN:

That the following budget modifications be made:

DECREASE

- 000-000-000 Revolving Loan Fund Balance \$68,000.00

CREATE

2003 Entitlement

- *Line Item 000-000-* Wesleyan Univ./Green Street Arts Center \$25,000
- *Line Item 000-000-* NEHI/ North End Housing Initiative \$0
- *Line Item 000-000-* KidCity/ Jobs Creation \$18,000
- *Line Item 000-000-* Connection/ Youth Center \$25,000

Submitted by: Department of Planning, Conservation and Development
Recommended by: Citizens Advisory Committee at its meeting May 28, 2003

Status:
by Common Council, City of Middletown,
at its meeting held on: July 2, 2003

RESOLUTION

WHEREAS, the City of Middletown receives Community Development Block Grant (CDBG) income from programs in its Revolving Loan Fund, including housing rehabilitation loan repayments, down payment assistance loan repayments, and JOBS loan repayments; and

WHEREAS, under Title 24, Part 570, Section 500(b) of the Code of Federal Regulations, Revolving Loan Fund payments are "for use in carrying out the same activities"; and

WHEREAS, each of the Revolving Loan Fund activities are approved CDBG programs in good standing, funded through the revolving fund for the purpose of promoting homeownership and economic development; and

WHEREAS, the Citizens' Advisory Committee, at their regular February meeting voted unanimously to recommend the transfers shown below to maintain the activities in the Revolving Loan Fund,

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF MIDDLETOWN:

That the Revolving Loan Fund revenues be made available for Revolving Loan Fund activities and continue to promote homeownership and economic development in the City of Middletown,

AND BE IT FURTHER RESOLVED:

That funds be transferred as follows to enable the foregoing activity:

From:

•	000-000-000	Revolving Loan Fund Balance	\$ 103,730.83
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To:

•	000-000-000	Residential Rehab Loan	\$ 23,730.83
•	000-000-000	Down Payment Asst. Loan	\$ 30,000.00
•	000-000-000	JOBS Loan	\$ 50,000.00

AND BE IT FURTHER RESOLVED:

That, the Mayor, as Chief Executive Officer of the City of Middletown, is hereby authorized to contract with Liberty Bank to administer the Down Payment and Closing Cost Assistance program.

*Recommended by the Citizens Advisory Committee at their meeting of May 28, 2003
Submitted by the Department of Planning, Conservation, & Development*

Listing of Proposed Projects

Geographic Distribution

As evidenced in Appendix D, the geographic distribution of its CDBG resources reflects the city's continued focus on community development in the downtown and the North End. This area of the city continues to host the majority of Middletown's low-income population as well as many of the social services that this population utilizes.

The map at the back of the Plan shows Middletown census tracts and the location of PY29 grant allocations. Each symbol represents one allocation. Bigger symbols represent bigger allocations. As noted on the map, since the intended benefit of many of the activities is "community wide," the symbols don't always represent where the benefit will occur, but rather where the subrecipient is based.

Conclusion

As evidenced by the map included in the Appendices, Middletown continues to devote most of its CDBG resources to its low income census tracts, specifically the downtown, and, more specifically yet, the North End. This dedication has culminated in both public and private accomplishments in the North End this year.

Publicly, the city appointed NEAT as the preferred developer of the North End and they are actively seeking funding to carry out the city approved North End/ CBD Urban Renewal Plan. CDBG funds are being targeted at three projects that are described in the Renewal Plan: Wesleyan Green Street Art Center, North End Housing Initiative, and the Community Organizer.

Privately, existing and new businesses are revamping storefronts in the area, and homebuyers are also beginning to express renewed interest in the neighborhood, as prospects begin to look brighter under the light of so much public and private attention.

Most importantly, the city's Community Development Block Grant program has become more visible in the community, as more of its projects are covered in local papers, the website becomes more user-friendly, and the program as a whole becomes more accessible and easy to understand to the general public.

Appendices

A. Application for Federal Assistance

The following page is the official document filed by the City of Middletown with the US Department of Housing and Urban Development (HUD), requesting federal funds through the Community Development Block Grant (CDBG) program.

B. Resolutions

Resolution Number:
Date: April 17, 2003

RESOLUTION

WHEREAS, the City of Middletown receives Community Development Block Grant (CDBG) funds from the U.S. Department of Housing & Urban Development (HUD) as an entitlement community; and

WHEREAS, the final rule was published on January 5, 1995 in the Federal Register for the Consolidated Submission for Community Planning & Development Programs; and

WHEREAS, pursuant to the HUD final rule, revisions to the City of Middletown Citizen Participation Plan have been adopted which includes the process by which the Citizens Advisory Committee prepares the Consolidated Plan;

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Organization	Project	Amount
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Russell Library	Renovation Phase III	\$17,000
Positive Solutions	Property Acquisition	\$5,000
Middletown Planning, Conservation and Dev.	Program Administration	\$137,000

AND BE IT FURTHER RESOLVED: That the Consolidated Plan may be updated and amended as necessary to reflect Community Development Block Grant programs approved by the Common Council and changing priorities of present and future potential grant recipients.

Submitted by: Department of Planning, Conservation and Development
Recommended by: Citizens Advisory Committee at its meeting of April 16, 2003

Status:
by Common Council, City of Middletown
at its meeting held on: MAY 6, 2003

Resolution Number:

Date: May 7, 2001

R E S O L U T I O N

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2002 Entitlement

Line Item 397-566- Positive Solutions/Prop.Acquisition \$ 65,000.00

Submitted by: Department of Planning, Conservation and Development

Recommended by: Citizens Advisory Committee at its meeting of April 16, 2003

Status:

by Common Council, City of Middletown,

at its meeting held on: May 21, 2003

Resolution Number:
Date: May 28, 2003

R E S O L U T I O N

BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF MIDDLETOWN:

That the following budget modifications be made:

DECREASE

- 000-000-000 Revolving Loan Fund Balance \$68,000.00

CREATE

2003 Entitlement

- *Line Item 000-000-* Wesleyan Univ./Green Street Arts Center \$25,000
- *Line Item 000-000-* NEHI/ North End Housing Initiative \$0
- *Line Item 000-000-* KidCity/ Jobs Creation \$18,000
- *Line Item 000-000-* Connection/ Youth Center \$25,000

Submitted by: Department of Planning, Conservation and Development
Recommended by: Citizens Advisory Committee at its meeting May 28, 2003

Status:
by Common Council, City of Middletown,
at its meeting held on: July 2, 2003

RESOLUTION

WHEREAS, the City of Middletown receives Community Development Block Grant (CDBG) income from programs in its Revolving Loan Fund, including housing rehabilitation loan repayments, down payment assistance loan repayments, and JOBS loan repayments; and

WHEREAS, under Title 24, Part 570, Section 500(b) of the Code of Federal Regulations, Revolving Loan Fund payments are "for use in carrying out the same activities"; and

WHEREAS, each of the Revolving Loan Fund activities are approved CDBG programs in good standing, funded through the revolving fund for the purpose of promoting homeownership and economic development; and

WHEREAS, the Citizens' Advisory Committee, at their regular February meeting voted unanimously to recommend the transfers shown below to maintain the activities in the Revolving Loan Fund,

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF MIDDLETOWN:

That the Revolving Loan Fund revenues be made available for Revolving Loan Fund activities and continue to promote homeownership and economic development in the City of Middletown,

AND BE IT FURTHER RESOLVED:

That funds be transferred as follows to enable the foregoing activity:

From:

•	000-000-000	Revolving Loan Fund Balance	\$ 103,730.83
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To:

•	000-000-000	Residential Rehab Loan	\$ 23,730.83
•	000-000-000	Down Payment Asst. Loan	\$ 30,000.00
•	000-000-000	JOBS Loan	\$ 50,000.00

AND BE IT FURTHER RESOLVED:

That, the Mayor, as Chief Executive Officer of the City of Middletown, is hereby authorized to contract with Liberty Bank to administer the Down Payment and Closing Cost Assistance program.

*Recommended by the Citizens Advisory Committee at their meeting of May 28, 2003
Submitted by the Department of Planning, Conservation, & Development*

C. Certifications

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice,

including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the

programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Domenique Thornton
(Signature/Authorized Official)

Date: _____

Title: MAYOR

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) PY26(2000), PY27(2001), PY28(2002), PY29(2003) (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case,

an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its notification, inspection, testing and abatement procedures concerning lead-based paint will comply with the requirements of 24 CFR 570.608;

Compliance with Laws -- It will comply with applicable laws.

Domenique Thornton
(Signature/Authorized Official)

Date: _____

Title: MAYOR

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).

5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Department of Planning, Conservation and Development
Health Department
Finance Department
Personnel Department
City of Middletown
Municipal Building
245 deKoven Drive/P.O. Box 1300
Middletown, CT 06457-1300

X Check if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct

charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll.

This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

D. Map

Insert IDIS Print-outs here.